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Fax: 212.527.7701NEW YORK  
SEATTLE  
WASHINGTON, D.C.  
FRANKFURTDATE: *January 15, 2009*

FILE #21302/0205263-US0:

FACSIMILE NO.	RECIPIENT AND COMPANY	CONFIRMATION WILL FOLLOW
<i>571-273-0419</i>	PCT Legal Dept Patent & Trademark Office	No

FROM: Julian A. Williams

E-MAIL: [jwilliams@darbylaw.com](mailto:jwilliams@darbylaw.com)

PHONE: 917.286.2940

NO. OF PAGES: 13  
(including cover page)

COMMENTS:

*2nd request for corrected  
Billing Receipt*

PLEASE RETURN TO JULIAN A. WILLIAMS

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{W:\jaw\misc\01118919.DOC [REDACTED]}

PLEASE CHARGE ANY DEFICIENCY UP TO \$300.00 OR CREDIT ANY EXCESS IN THE FEES DUE WITH THIS DOCUMENT TO: OUR DEPOSIT ACCOUNT NO. 04-0100

**Docket No.: 21302/0205263-US0**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Joongseo Park

Serial No.: 10/597,607

**Filed: August 3, 2006**

For: **III-NITRIDE COMPOUND SEMICONDUCTOR LIGHT EMITTING DEVICE**

**REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner of Patents and Trademarks  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
2. There is an error with respect to the following data, which is:

☒ incorrectly entered and/or  
☐ omitted.

**Error in****Correct data**

- |                                     |                         |  |
|-------------------------------------|-------------------------|--|
| <input type="checkbox"/>            | Applicant's name        |  |
| <input type="checkbox"/>            | Applicant's address     |  |
| <input type="checkbox"/>            | Title                   |  |
| <input checked="" type="checkbox"/> | Filing Date             | 08/03/2006   |
| <input type="checkbox"/>            | Application Number      |  |
| <input checked="" type="checkbox"/> | Domestic Priority Data: | This Application is a 371 of PCT/KR05/00360 02/05/2005 |
| <input type="checkbox"/>            | Other                   |  |

**Remarks:**

Enclosed please find a copy of the Executed Declaration That shows the correct Domestic priority data. Also enclosed is the Electronic Acknowledgement that shows the correct filing date of the Declaration which should be 8/3/2006.

The Commissioner is respectfully requested to issue a new and correct Filing Receipt.

Respectfully submitted,

Dated: April 7, 2008



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Flynn Barrison  
Reg. No. 53,970  
Agent for Applicant(s)

DARBY & DARBY P.C.  
P.O.Box 770  
Church Street Station  
New York, NY 10008-0770



## UNITED STATES PATENT AND TRADEMARK OFFICE

21302/0205263-USO  
 UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	TOT CLAIMS	IND CLAIMS
10/597,607	08/01/2006		900	20506/0205263-USO	4	1

CONFIRMATION NO. 3725

## FILING RECEIPT



OC000000026991283

7278

DARBY & DARBY P.C.  
 P.O. BOX 770  
 Church Street Station  
 New York, NY 10008-0770

Date Mailed: 03/25/2008

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections.

## Applicant(s)

Joongseo Park, Kyunggi-do, KOREA, REPUBLIC OF;

## Assignment For Published Patent Application

EPIVALLEY CO., LTD, Gyunggi-Do, KOREA, REPUBLIC OF

Power of Attorney: The patent practitioners associated with Customer Number 07278

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/KR05/00360 03/05/2005

## Foreign Applications

REPUBLIC OF KOREA 10-2004-0007541 02/05/2004

If Required, Foreign Filing License Granted: 03/20/2008

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 10/597,607**

Projected Publication Date: 06/26/2008

Non-Publication Request: No

Early Publication Request: No

page 1 of 3

Computer \_\_\_\_\_  
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**Title**

Iii-Nitride Compound Semiconductor Light Emitting Device

**Preliminary Class****PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

**LICENSE FOR FOREIGN FILING UNDER****Title 35, United States Code, Section 184****Title 37, Code of Federal Regulations, 5.11 & 5.15****GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier

license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

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#### **NOT GRANTED**

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## UNITED STATES PATENT AND TRADEMARK OFFICE

ATTY REVIEWED \_\_\_\_\_

DATE: \_\_\_\_\_

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

21302/02052630

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/597,607	Joongseo Park	20506/0205263-USO

7278

DARBY &amp; DARBY P.C.

P.O. BOX 770

Church Street Station

New York, NY 10008-0770

INTERNATIONAL APPLICATION NO.

PCT/KR05/00360

I.A. FILING DATE

03/05/2005

PRIORITY DATE

02/05/2004

CONFIRMATION NO. 3725  
 371 ACCEPTANCE LETTER



OC000000028591284

Date Mailed: 03/25/2008

## NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

08/01/2006

DATE OF RECEIPT OF 35 U.S.C. 371(c)(1),  
 (c)(2) and (c)(4) REQUIREMENTS

08/05/2006

DATE OF COMPLETION OF ALL  
 35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE. The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- Copy of the International Application filed on 08/01/2006
- Copy of the International Search Report filed on 08/01/2006
- Information Disclosure Statements filed on 08/01/2006
- Oath or Declaration filed on 08/01/2006
- U.S. Basic National Fees filed on 08/01/2006
- Priority Documents filed on 08/01/2006
- Specification filed on 08/01/2006
- Claims filed on 08/01/2006
- Abstracts filed on 08/01/2006
- Drawings filed on 08/01/2006

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

NADINE V CLARK

---

Telephone: (703) 308-9140 EXT 219



PTO/SB/110 (05-85)

Approved for use through 10/31/02. OMB 0651-0032  
Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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## Declaration and Power of Attorney for Patent Application

## 특허 출원 관련 선언 및 위임권

## Korean Language Declaration

아래 작성된 발명자로서, 본언은 하기 사항을 선언합니다.

As a below named inventor, I hereby declare that:

본문의 거주지, 우송 주소 및 국적은 본언의 상열 아래에 기재된 것과 동일합니다.

My residence, post office address, and citizenship are as stated next to my name.

본언은 하기 명시된 발명에 대한 특허를 청구하는 주체의 최초 원역 단독 발명자이거나 (아래에 한 이름만이 기재된 경우) 또는 최초 원역 공동 발명자임을 (아래에 여러 이름이 기재된 경우) 확인합니다.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

III-NITRIDE COMPOUND SEMICONDUCTOR LIGHT  
EMITTING DEVICEIII-NITRIDE COMPOUND SEMICONDUCTOR LIGHT  
EMITTING DEVICE

다음 안에 체크되어 있지 않으면 본 발명의 명세서가 여기에 첨부됩니다.

the specification of which is attached hereto unless the following box is checked:



미합중국 출원번호 또는 PCT 국제 출원번호는

PCT/KR2005/000360

로

5 February 2005

일에 출원되었고

일에 개정되었음

(해당 경우).



was filed on 5 February 2005

as United States Application Number or PCT

International Application Number

PCT/KR2005/000360

and was amended on

(if applicable).

본언은 상기 기재에 의해 수정된 상기 명세서는 물론 특허 청구의 내용을 심사했으며 이해했음을 확인합니다.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

본언은 연방 규정 코드인 제37장의 제1.56항에 의거하여 특허 자문에 관한 자료 정보를 공개할 의무를 인정합니다.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

PTO/SB/110 (05-95)

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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## Korean Language Declaration

본인은 외국인 특허 출원(들)이나 발명자의 증명서 관련 경우에는 미합중국 코드인 제35장의 제17.9(a)-(d)항이나 제365(b)항에 의거하여 또는 미합중국 밖의 적어도 한 국가를 지정하는 PCT 국제 출원의 경우에는 제365(a)항에 의거하여 하기 명시된 특허 출원의 외국 우선권을 주장하며, 외국인 특허 출원, 발명자 증명서 또는 우선권이 주장되는 출원일 이전에 제출된 PCT 국제 출원도 또한 이쪽에 해당함을 제3항으로서 확인하였습니디.

I hereby claim foreign priority under Title 35, United States Code Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or 365 (a) of any PCT International application which designated at least one country other than the United States listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application for which priority is claimed.

Priority Not Claimed

우선권 주장 있음

10-2004-0007541

(Number)

(번호)

REPUBLIC OF KOREA

(Country)

(국가)

5 February 2004

(Day/Month/Year Filed)

(출원일자 월/일/년)

(Number)

(번호)

(Country)

(국가)

(Day/Month/Year Filed)

(출원일자 월/일/년)

본인은 미합중국 코드인 제35장 제119항(c)에 명시된 바와 같이 하기 미합중국 가출원에 관련된 출원권을 요구합니다.

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.

(Application No.)

(출원 번호)

(Filing Date)

(출원일자)

(Application No.)

(출원 번호)

(Filing Date)

(출원일자)

본인은 미합중국 코드인 제35장의 미국인 출원(들) 관련 제20항에 명시된 바와 같이 또는 미합중국을 지정하는 PCT 국제 출원 관련 제365(c)항에 명시된 바와 같이 하기 출원의 출원권을 요구합니다. 이 출원서에 있는 각 특허 청구의 내용이 미합중국 코드인 제35장 제112항의 첫번째 절에서 명시된 바와 같이 종전의 미국 또는 PCT 국제 출원에 발표되지 않았으면 본인은 연방 규정 코드인 제37장 제1.56항에 명시된 바와 같이 종전 출원일자와 이 출원서의 국내 또는 PCT 국제 출원일자 사이에 특허 자격에 대한 자료 정보를 공개할 의무를 인정합니다.

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

(Application No.)

(출원 번호)

(Filing Date)

(출원일자)

(Status) (patented, pending, abandoned)

(현황)(특허 획득, 출원중, 포기)

(Application No.)

(출원 번호)

(Filing Date)

(출원일자)

(Status) (patented, pending, abandoned)

(현황)(특허 획득, 출원중, 포기)

본인이 아는 한도 내에서 여기에 제공된 모든 내용이 사실이고, 제공된 정보가 소신이 모두 사실임을 확인하여, 다나아가 미합중국 코드 제18장의 제1001절에 명시된 바와 같이 고의의 허위 진술 및 이와 유사한 행위는 벌금이나 투옥으로 처분 받거나 벌금과 감옥형을 모두 받을 수 있고 이러한 고의의 허위 진술은 특허 출원이나 후에 발급된 특허의 유효성을 위태롭게 함을 인지하면서 여기에 진술함을 선언합니다.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

PTO/SB/110 (05-95)

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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## Korean Language Declaration

권: 지명된 발명자로서 본인은 이 특허를 출원하고 이와 관련하여  
 및 상호점이 요구하는 실무용 처리하기 위해서 하기 변호사(들)  
 는 대리인(들)을 임명합니다. (성명 및 등록번호 기입)

POWER OF ATTORNEY: As a named inventor, I hereby  
 appoint the following attorney(s) and/or agent(s) to prosecute  
 this application and transact all business in the Patent and  
 Trademark Office connected therewith: (list name and  
 registration number).

Practitioners associated with Customer Number :  
 07278

## 서신 수신자

Address associated with Customer Number  
 07278

DARBY &amp; DARBY P.C.

P.O. Box5257

New York, New York 10150-5257

직통 전화 수신자 성명 및 전화번호

Peter C. Schechter, (212)527-7723

## Send Correspondence to:

Address associated with Customer Number  
 07278

DARBY &amp; DARBY P.C.

P.O. Box5257

New York, New York 10150-5257

Direct Telephone Calls to: (name and telephone number)

Peter C. Schechter, (212)527-7723

단독 또는 첫번째 발명자의 성명 Joongseo PARK	Full name of sole or first inventor Joongseo PARK
발명자의 서명 <i>Joongseo Park</i>	Inventor's signature Date 2006-7-25 <i>Joongseo Park</i>
거주지 Kyunggi-do, Republic of Korea	Residence Kyunggi-do, Republic of Korea
국적 Republic of Korea	Citizenship Republic of Korea
우송 주소 606-103, Samsung Raemian 6-cha Apt., 1167, Bojeong-ri, Kuseong-eup, Yougin-si, Kyunggi-do 449-564 Republic of Korea	Post Office Address 606-103, Samsung Raemian 6-cha Apt., 1167, Bojeong-ri, Kuseong-eup, Yougin-si, Kyunggi-do 449-564 Republic of Korea
전약 있으면 두번째 공동 발명자의 이름	Full name of second joint inventor, if any
두번째 발명자의 서명	Second inventor's signature Date
거주지	Residence
국적	Citizenship
우송 주소	Post Office Address

(세번째 그리고 이후의 공동 발명자들에 대한 유사한 정보와  
 그들의 서명을 제공할 것.)

(Supply similar information and signature for third and  
 subsequent joint inventors.)

**Electronic Acknowledgement Receipt**

<b>EFS ID:</b>	1140195
<b>Application Number:</b>	10597607
<b>Confirmation Number:</b>	3725
<b>Title of Invention:</b>	III-NITRIDE COMPOUND SEMICONDUCTOR LIGHT EMITTING DEVICE
<b>First Named Inventor:</b>	Joongseo Park
<b>Customer Number:</b>	7278
<b>Filer:</b>	Jay Philip Lessler/Lillian Garcia
<b>Filer Authorized By:</b>	Jay Philip Lessler
<b>Attorney Docket Number:</b>	20506/0205263-US0
<b>Receipt Date:</b>	03-AUG-2006
<b>Filing Date:</b>	
<b>Time Stamp:</b>	17:09:24
<b>Application Type:</b>	U.S. National Stage under 35 USC 371
<b>International Application Number:</b>	

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If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

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If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

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